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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES  ATTTORNEY'S DOCKET NUMBER  075834.00402				
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. ABPLICATION NO. (If known see 37 CFR 1.5)	
INTERNA	TIONAL APPLICATION NO.	NTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
TITLE OF	INVENTION	e 19, 2003	June 20, 2002	
APPLICANT(S) FOR DO/EO/US				
Osamu Goto, Osamu Matsumoto, Tomomi Sasaki, Masao Ikeda				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1. Land This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
2	2. This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.			
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.				
4. The US has been elected (Article 31).				
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
	a. is attached hereto (required only if not communicated by the International Bureau).			
	b.  has been communicated by the International Bureau.			
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).			
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
	a. is attached hereto.			
	b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	a. are attached hereto (required only if not communicated by the International Bureau).			
	b. have been communicated by the International Bureau.			
	c. have not been made; however, the time limit for making such amendments has NOT expired.			
	d. have not been made and will not be made.			
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).			
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:				
11. 🗹	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13.	A preliminary amendment.			
14. 📙	An Application Data Sheet under 37 CFR 1.76.			
15.	A substitute specification.			
16.	A power of attorney and/or change of address letter.			
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
20.	Other items or information: Letter Under 37 C.F.R. §1.41(c)			

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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## DT05 Rec'd PCT/PT0 1 3 DEC 2004.

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
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Date of Deposit:

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Patent Application entitled:

GaN SEMICONDUCTOR DEVICE

Inventor(s):

Osamu Goto et al.

Our Case No.

075834.00402

Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (PTO-1390), Preliminary Amendment, English Translation of International Application as filed (41 pages), formal drawings (13 pages, Figs. 1A-13B), Letter Under 37 C.F.R.§1.41(c), Un-Executed Oath and Declaration (3 pages), Information Disclosure Statement, PTO/SB/08A, International

Search Report and cited references.

Government filing fee

\$1,000

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Signature of person mailing application and fee

10/517877

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Dec 13,2004

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## VIA EXPRESS MAIL NO. EV573183541US

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Re:

Osamu Goto et al. application for United States Patent Application under the Patent Cooperation Treaty entitled:

GaN SEMICONDUCTOR DEVICE

Our Case No. 075834.00402

Dear Sir:

Under the provisions of 37 CFR §1.41(c) I hereby file the attached application including 10 claims and 13 sheets of drawings on behalf of Osamu Goto et al., and request that this application be assigned a serial number and filing date pursuant to the provisions of 37 CFR §1.53(b) and (d).

Very truly yours,

Holiand & Knight LLO

RJD/mrs Encl. Robert J. Depke